

COVID Policy Compliance (based on existing EH&S safety process)

Compliance with COVID related policies is required for access to Cluster 4 Buildings (BioE, ESB, EII, HFH, MRL, and Elings) during Stage 3 of reopening research at UCSB. Failure to comply with policies outlined in the lab- and building-specific SOPs will result in loss of access to the collective Cluster 4 buildings (in a manner identical to the UCSB policies for laboratory hazard safety practices: <https://www.ehs.ucsb.edu/labsafety-chp/sec3/b/2-responsibilities>). If access is revoked, the researcher will be able to submit a remediation proposal to regain access.

Examples of noncompliance:

- (1) not wearing appropriate COVID PPE
- (2) not following lab cleanliness and entry/exit protocols and social distancing protocols
- (3) not adhering to pre-planned schedules or using the lab without an approved reservation

Since these policies and procedures are new and will take time to become intuitive, the shared Buildings will operate with a Three-Strikes policy where each infraction will generate a warning and on the third strike your access to the **collective** cluster of buildings will be revoked.

Infractions will be recorded in a COE Noncompliance Reporting form:

https://docs.google.com/forms/d/e/1FAIpQLSfS0hYRI0ucrnarKaRbFe7O8RPy_1zZllsB9JL-AUkxKRj08Q/viewform?usp=sf_link

Strikes 1-2: Building committee warns student by email cc-ed to PI and Department Chair and Shared Facility Director (if applicable). Infraction information is shared between all buildings and shared use facilities.

Strike 3: Access to building/lab is blocked. Access may be summarily blocked by cognizant Department chair (or equivalent Center Director). If this is a complicated or interdepartmental case, it will be referred to the Cluster Committee (consisting of Building Points of Contacts, Department Chairs and the Dean of Engineering). The Cluster Committee may then send an email directing the blockage of access to the relevant staff and/or Department. This can occur by removing keycard access or requesting return of a physical lab key to the department.

Reinstating Access. The researcher may submit a Remediation Proposal to the Cluster Committee commensurate with the level of infraction to request renewed access to the buildings. This Remediation Proposal should contain details on how future compliance will be ensured. Remediation requests will be considered by the Cluster Committee. If Shared Use Facility (SF) reinstatement is requested, the Remediation Request will also need to be considered by the SF lab managers. A Zoom meeting with the PI and researcher may be required.