

## COVID Compliance Policy for Cluster 4 Buildings

Compliance with COVID related policies is required for access to Cluster 4 Buildings (BioE, ESB, EII, HFH, MRL, and Elings) during Stage 3 of reopening research at UCSB. Failure to comply with policies outlined in the lab- and building-specific SOPs will result in the suspension of access to the collective Cluster 4 Buildings (in a manner identical to the UCSB policies for laboratory hazard safety practices:

<https://www.ehs.ucsb.edu/labsafety-chp/sec3/b/2-responsibilities>. If access is suspended, the researcher will be able to submit a remediation proposal to restart access.

### Examples of noncompliance:

- (1) not wearing appropriate COVID PPE
- (2) not following lab cleanliness and entry/exit protocols and social distancing protocols
- (3) not adhering to pre-planned schedules or using the lab without an approved reservation

### Reporting procedure:

Since these policies and procedures are new and will take time to become intuitive, the Building Cluster will operate with a “three-strikes” policy where each infraction will generate a warning and the third warning will result in a suspension of access to the **collective** Cluster 4 Buildings.

Warnings will be recorded in the COE Stage III Compliance Log:

[https://docs.google.com/forms/d/e/1FAIpQLSfS0hYRI0ucrnarKaRbFe7O8RPy\\_1zZllsB9JL-AUkxKRj08Q/viewform?usp=sf\\_link](https://docs.google.com/forms/d/e/1FAIpQLSfS0hYRI0ucrnarKaRbFe7O8RPy_1zZllsB9JL-AUkxKRj08Q/viewform?usp=sf_link)

Given that managers will not often be present, infractions reported to the manager or building committees or through anonymous reporting lines will be considered.

Warning 1: The researcher is warned by email from the relevant Building Committee or Shared Facility Director and warning details are added to the Compliance Log.

Warning 2: The researcher is warned by email from the relevant Building Committee copied to all applicable and relevant PIs, Department Chairs, and/or Shared Facility Directors and warning details added to the Compliance Log.

Warning 3: Access to Cluster 4 Buildings is suspended and warning details added to the Compliance Log. Such cases will be discussed by the Cluster Committee (consisting of the Building Points of Contact, a Facility Representative, the Department Chairs, and the Dean of Engineering). The Cluster Committee will notify the researcher, all Building Committees, and COE shared facility managers, who will then notify relevant staff and instruct that building access (to all Cluster buildings) be blocked by removing keycard access or the return of physical door keys.

For egregious infractions, those that pose an immediate risk to the health and safety of the campus community, access may be suspended immediately by cognizant lab manager, safety representative, Department Chair or Center Director per the Warning 3 procedure.

### Restarting access:

The researcher, working with their PI and any relevant facility staff, may submit a Remediation Proposal to the Cluster Committee commensurate with the level of infraction to request renewed access to the buildings. This Remediation Proposal should contain details on how future compliance will be ensured. Remediation requests will be considered by the Cluster Committee. If Shared Use Facility (SF) reinstatement is requested, the Remediation Request will also need to be considered by the SF lab managers.